



Design and Analysis  
of Algorithms I

# Data Structures

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## Heaps and Their Applications

# Heap: Supported Operations

- A container for objects that have keys
- Employer records, network edges, events, etc.

Insert: add a new object to a heap.

Running time :  $O(\log(n))$

Equally well,  
EXTRACT MAX



Extract-Min: remove an object in heap with a minimum key value. [ties broken arbitrarily]

Running time :  $O(\log n)$  [ $n$  = # of objects in heap]

Also : **HEAPIFY** (  $\begin{matrix} n \text{ batched Inserts} \\ \text{in } O(n) \text{ time} \end{matrix}$  ), **DELETE** ( $O(\log(n))$  time)

# Application: Sorting

Canonical use of heap : fast way to do repeated minimum computations.

Example : **SelectionSort**  $\sim \theta(n)$  linear scans,  $\theta(n^2)$  runtime on array of length  $n$

Heap Sort : 1.) insert all  $n$  array elements into a heap  
2.) Extract-Min to pluck out elements in sorted order

Running Time =  $2n$  heap operations =  $O(n \log(n))$  time.

=> optimal for a “comparison-based” sorting algorithm!

# Application: Event Manager

“Priority Queue” – synonym for a heap.

Example : simulation (e.g., for a video game )

- Objects = event records  $\left[ \begin{array}{l} \text{Action/update to occur at} \\ \text{given time in the future} \end{array} \right]$
- Key = time event scheduled to occur
- Extract-Min => yields the next scheduled event

# Application: Median Maintenance

I give you : a sequence  $x_1, \dots, x_n$  of numbers, one-by-one.

You tell me : at each time step  $i$ , the median of  $\{x_1, \dots, x_i\}$ .

Constraint : use  $O(\log(i))$  time at each step  $i$ .

Solution : maintain heaps  $H_{\text{Low}}$  : supports Extract Max  
 $H_{\text{High}}$  : supports Extract Min

Key Idea : maintain invariant that  $\sim i/2$  smallest (largest) elements in  
 $H_{\text{Low}}$  ( $H_{\text{High}}$ )

You Check : 1.) can maintain invariant with  $O(\log(i))$  work  
2.) given invariant, can compute median in  $O(\log(i))$  work

# Application: Speeding Up Dijkstra

## Dijkstra's Shortest-Path Algorithm

- Naïve implementation  $\Rightarrow$  runtime =
- with heaps  $\Rightarrow$  runtime =  $O(m \log(n))$

