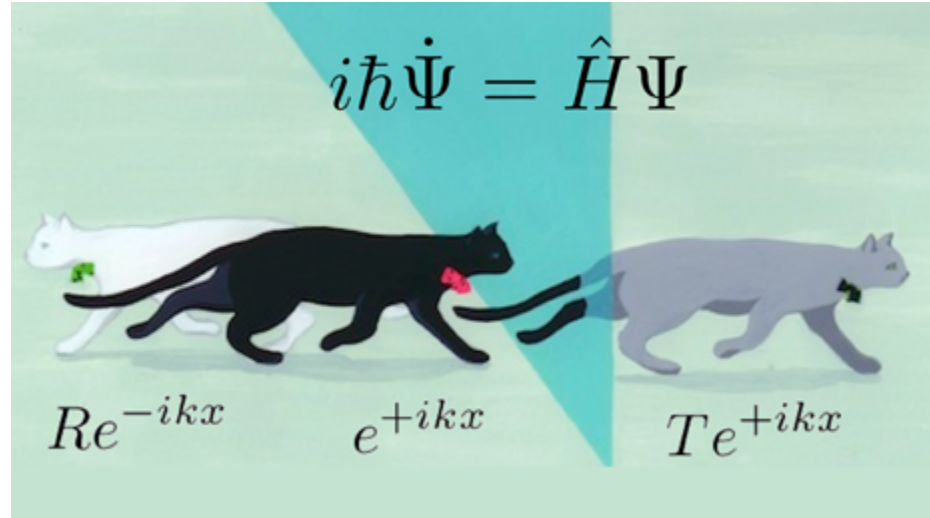


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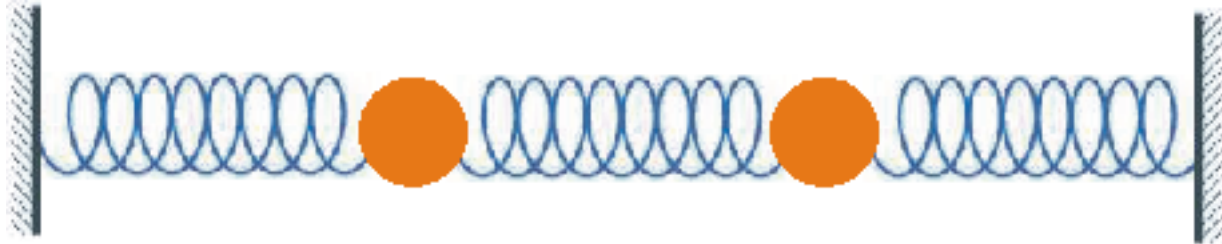
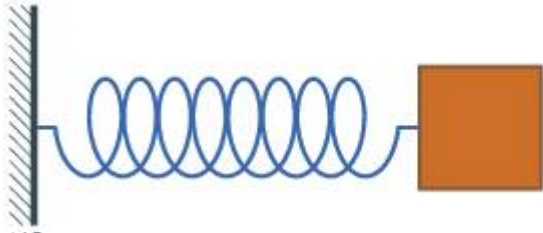
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Phonons in crystals

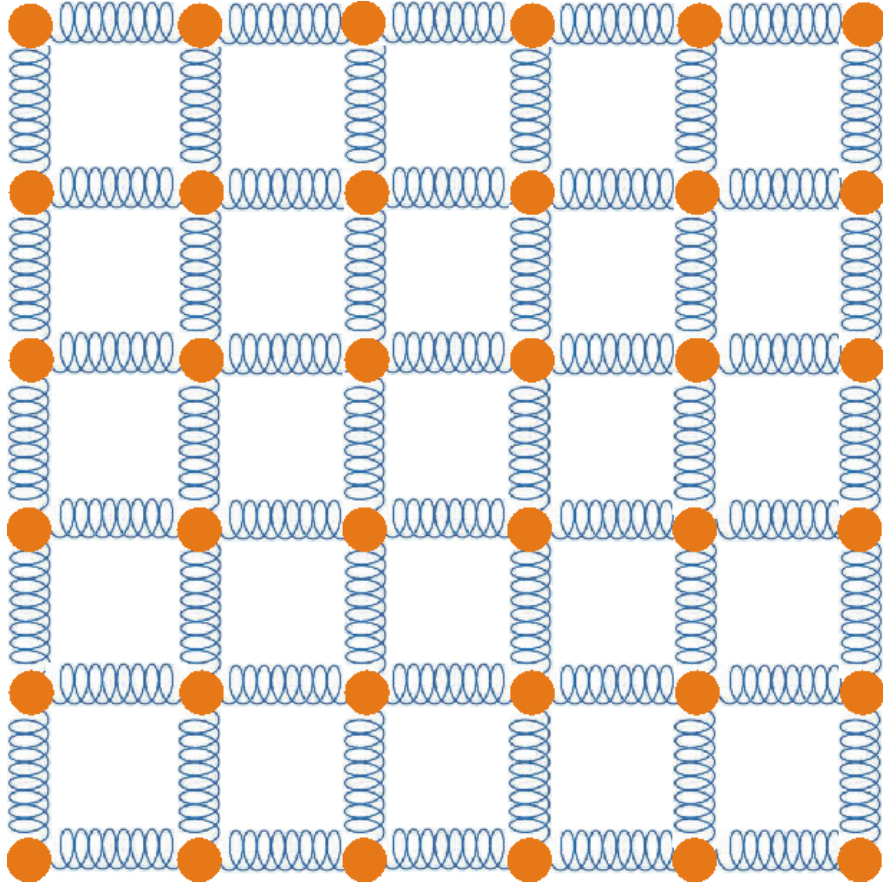
Part I: Preliminary discussion



Normal modes



“Spherical cow” model of a crystal



Symmetries and broken symmetries

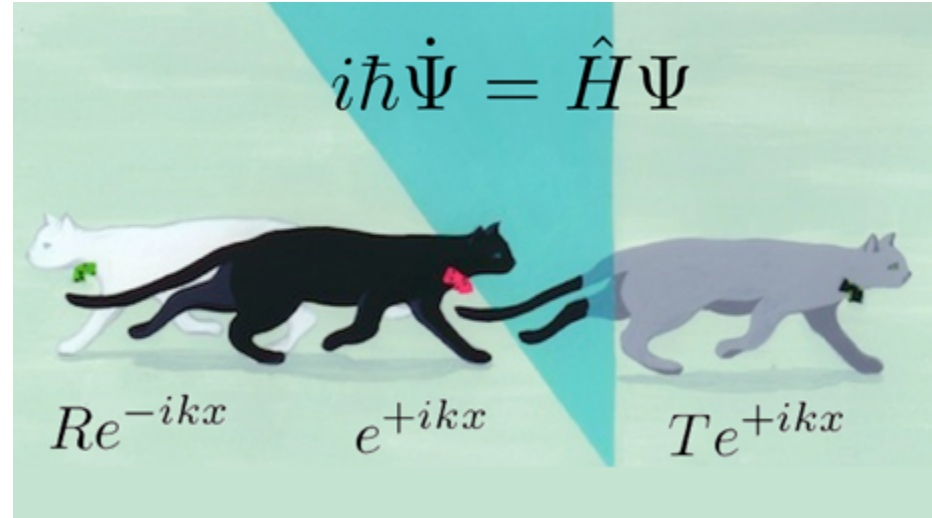
Poor man's version of the Goldstone theorem

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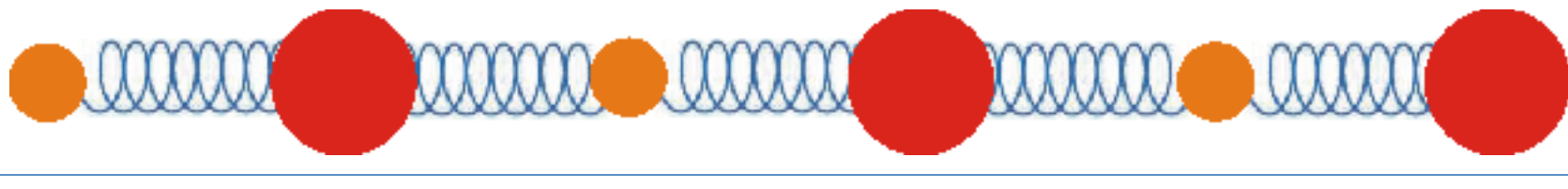
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Phonons in crystals

Part I: Classical oscillator chain



The model



- Classical energy (classical Hamiltonian):

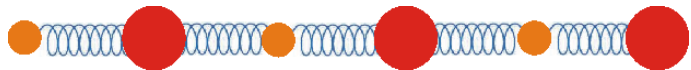
$$H = \sum_n \left[\underbrace{\frac{p_n^2}{2m} + \frac{P_n^2}{2M}}_{\text{kinetic energy}} + \underbrace{\frac{k}{2} (x_n - Y_n)^2 + \frac{k}{2} (x_{n+1} - Y_n)^2}_{\text{potential energy, } V} \right]$$

- Eqs. of motion (Newton's Eqs.) follow from $\dot{p}_n = -\frac{\partial V}{\partial x_n}$ and $\dot{P}_n = -\frac{\partial V}{\partial Y_n}$

$$\dot{p}_n = k(Y_n - x_n) + k(Y_{n-1} - x_n)$$

$$\dot{P}_n = k(x_n - Y_n) + k(x_{n+1} - Y_n)$$

Wave solutions to Newton's Eqs.

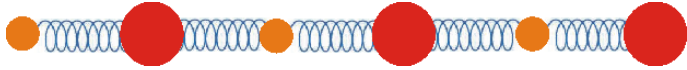


$$\begin{cases} m\ddot{x}_n = k [Y_n + Y_{n-1} - 2x_n] \\ M\ddot{Y}_n = k [x_n + x_{n+1} - 2Y_n] \end{cases}$$

$$x_n(t) = \int \frac{dq}{2\pi} x_q e^{iqn - i\omega t}$$

$$Y_n(t) = \int \frac{dq}{2\pi} Y_q e^{iq(n+1/2) - i\omega t}$$

Wave solutions to Newton's Eqs.



$$\begin{cases} m\ddot{x}_n = k [Y_n + Y_{n-1} - 2x_n] \\ M\ddot{Y}_n = k [x_n + x_{n+1} - 2Y_n] \end{cases}$$

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$$Y_n(t) = \int \frac{dq}{2\pi} Y_q e^{iq(n+1/2) - i\omega t}$$

$$\begin{cases} -\omega^2 m x_q = 2k \cos(q/2) Y_q - 2k x_q \\ -\omega^2 M Y_q = 2k \cos(q/2) x_q - 2k Y_q \end{cases}$$

The wave dispersion; acoustic and optical phonons

$$\begin{pmatrix} m\omega^2 - 2k & 2k \cos(q/2) \\ 2k \cos(q/2) & M\omega^2 - 2k \end{pmatrix} \begin{pmatrix} x_q \\ Y_q \end{pmatrix} = 0$$

- Self-consistency condition:

$$\det \begin{pmatrix} \cdot & \cdot \\ \cdot & \cdot \end{pmatrix} = \omega^4 - 2k(m + M)\omega^2 + 4k^2 [1 - \cos^2(q/2)] = 0$$

$$\omega_{\pm}^2(q) = \frac{k}{\mu} \left[1 \pm \sqrt{1 - \frac{4\mu^2}{mM} \sin^2(qa)} \right]$$

$$\mu = \frac{mM}{m + M}$$

Speed of sound
