

# Pushdown Automata

Definition

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Languages of the PDA

Deterministic PDA's

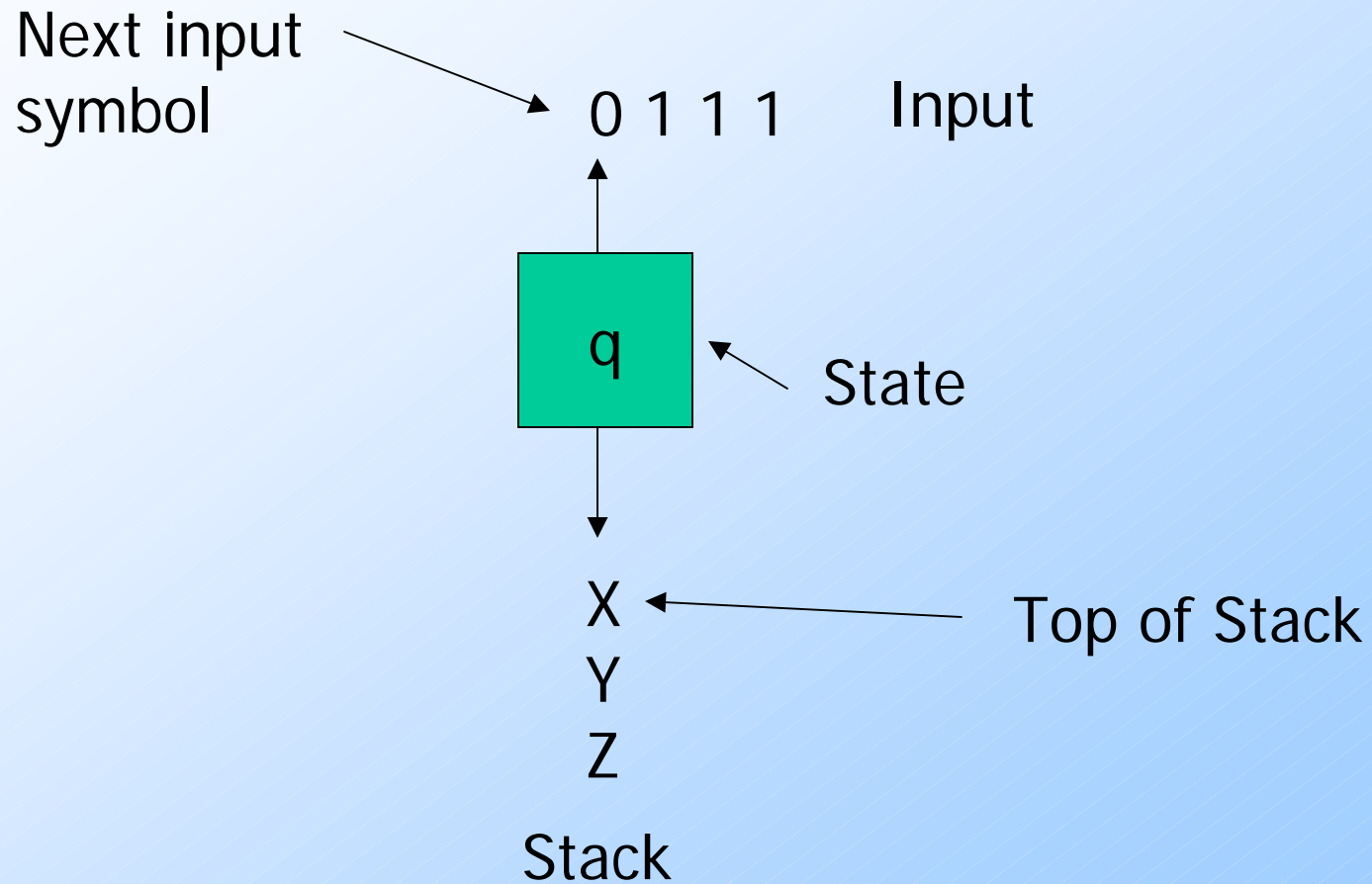
# Pushdown Automata

- ◆ The PDA is an automaton equivalent to the CFG in language-defining power.
- ◆ Only the nondeterministic PDA defines all the CFL's.
- ◆ But the deterministic version models parsers.
  - ◆ Most programming languages have deterministic PDA's.

# Intuition: PDA

- ◆ Think of an  $\epsilon$ -NFA with the additional power that it can manipulate a stack.
- ◆ Its moves are determined by:
  1. The current state (of its “NFA”),
  2. The current input symbol (or  $\epsilon$ ), and
  3. The current symbol on top of its stack.

# Picture of a PDA



## Intuition: PDA – (2)

- ◆ Being nondeterministic, the PDA can have a choice of next moves.
- ◆ In each choice, the PDA can:
  1. Change state, and also
  2. Replace the top symbol on the stack by a sequence of zero or more symbols.
    - ◆ Zero symbols = “pop.”
    - ◆ Many symbols = sequence of “pushes.”

# PDA Formalism

- ◆ A PDA is described by:
  1. A finite set of *states* ( $Q$ , typically).
  2. An *input alphabet* ( $\Sigma$ , typically).
  3. A *stack alphabet* ( $\Gamma$ , typically).
  4. A *transition function* ( $\delta$ , typically).
  5. A *start state* ( $q_0$ , in  $Q$ , typically).
  6. A *start symbol* ( $Z_0$ , in  $\Gamma$ , typically).
  7. A set of *final states* ( $F \subseteq Q$ , typically).

# Conventions

- ◆  $a, b, \dots$  are input symbols.
  - ◆ But sometimes we allow  $\epsilon$  as a possible value.
- ◆  $\dots, X, Y, Z$  are stack symbols.
- ◆  $\dots, w, x, y, z$  are strings of input symbols.
- ◆  $\alpha, \beta, \dots$  are strings of stack symbols.

# The Transition Function

- ◆ Takes three arguments:
  1. A state, in  $Q$ .
  2. An input, which is either a symbol in  $\Sigma$  or  $\epsilon$ .
  3. A stack symbol in  $\Gamma$ .
- ◆  $\delta(q, a, Z)$  is a set of zero or more actions of the form  $(p, \alpha)$ .
  - ◆  $p$  is a state;  $\alpha$  is a string of stack symbols.



# Actions of the PDA

- ◆ If  $\delta(q, a, Z)$  contains  $(p, \alpha)$  among its actions, then one thing the PDA can do in state  $q$ , with  $a$  at the front of the input, and  $Z$  on top of the stack is:
  1. Change the state to  $p$ .
  2. Remove  $a$  from the front of the input (but  $a$  may be  $\epsilon$ ).
  3. Replace  $Z$  on the top of the stack by  $\alpha$ .

## Example: PDA

- ◆ Design a PDA to accept  $\{0^n 1^n \mid n \geq 1\}$ .
- ◆ The states:
  - ◆  $q$  = start state. We are in state  $q$  if we have seen only 0's so far.
  - ◆  $p$  = we've seen at least one 1 and may now proceed only if the inputs are 1's.
  - ◆  $f$  = final state; accept.

## Example: PDA – (2)

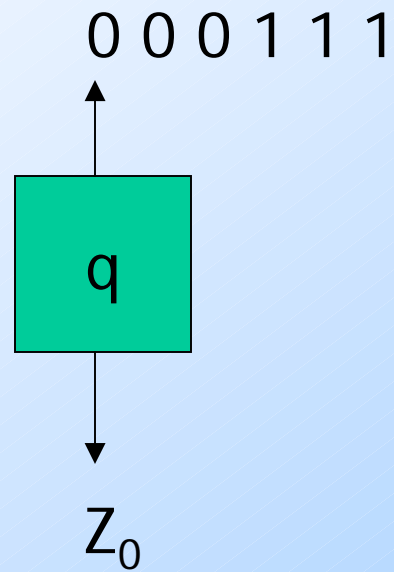
- ◆ The stack symbols:
  - ◆  $Z_0$  = start symbol. Also marks the bottom of the stack, so we know when we have counted the same number of 1's as 0's.
  - ◆  $X$  = marker, used to count the number of 0's seen on the input.

## Example: PDA – (3)

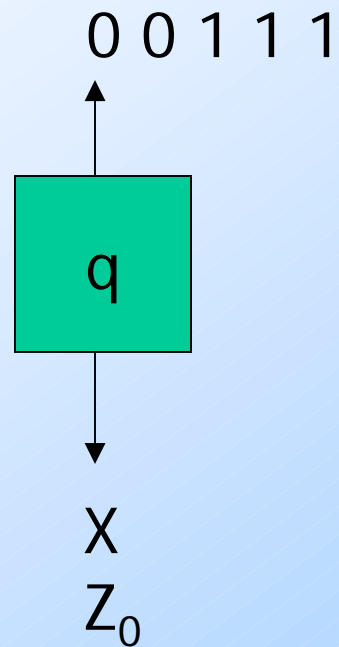
### ◆ The transitions:

- ◆  $\delta(q, 0, Z_0) = \{(q, XZ_0)\}$ .
- ◆  $\delta(q, 0, X) = \{(q, XX)\}$ . These two rules cause one  $X$  to be pushed onto the stack for each  $0$  read from the input.
- ◆  $\delta(q, 1, X) = \{(p, \epsilon)\}$ . When we see a  $1$ , go to state  $p$  and pop one  $X$ .
- ◆  $\delta(p, 1, X) = \{(p, \epsilon)\}$ . Pop one  $X$  per  $1$ .
- ◆  $\delta(p, \epsilon, Z_0) = \{(f, Z_0)\}$ . Accept at bottom.

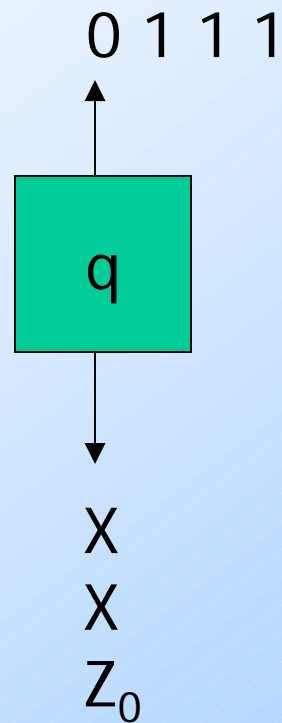
# Actions of the Example PDA



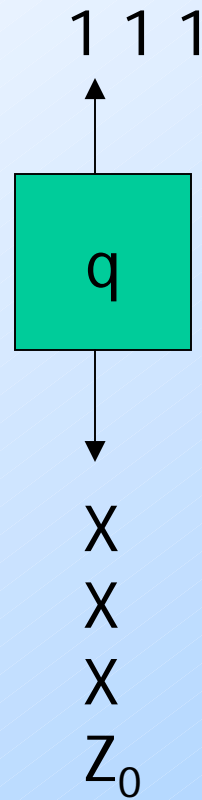
# Actions of the Example PDA



# Actions of the Example PDA

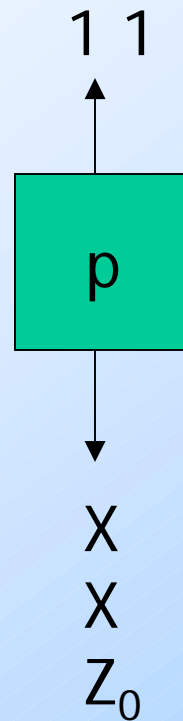


# Actions of the Example PDA

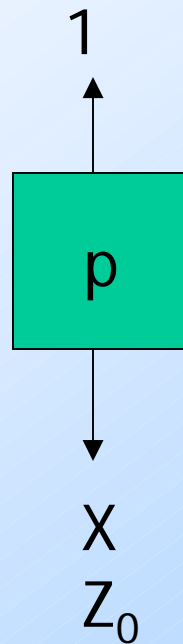




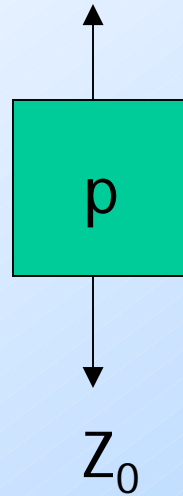
# Actions of the Example PDA



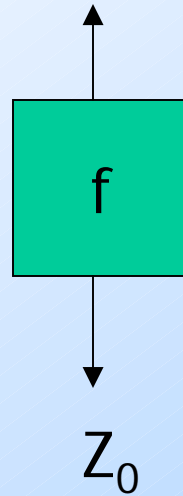
# Actions of the Example PDA



# Actions of the Example PDA



# Actions of the Example PDA



# Instantaneous Descriptions

- ◆ We can formalize the pictures just seen with an *instantaneous description* (ID).
- ◆ A ID is a triple  $(q, w, \alpha)$ , where:
  1.  $q$  is the current state.
  2.  $w$  is the remaining input.
  3.  $\alpha$  is the stack contents, top at the left.

# The “Goes-To” Relation

- ◆ To say that ID  $I$  can become ID  $J$  in one move of the PDA, we write  $I \vdash J$ .
- ◆ Formally,  $(q, aw, X\alpha) \vdash (p, w, \beta\alpha)$  for any  $w$  and  $\alpha$ , if  $\delta(q, a, X)$  contains  $(p, \beta)$ .
- ◆ Extend  $\vdash$  to  $\vdash^*$ , meaning “zero or more moves,” by:
  - ◆ **Basis:**  $I \vdash^* I$ .
  - ◆ **Induction:** If  $I \vdash^* J$  and  $J \vdash K$ , then  $I \vdash^* K$ .

## Example: Goes-To

- ◆ Using the previous example PDA, we can describe the sequence of moves by:  
 $(q, 000111, Z_0) \vdash (q, 00111, XZ_0) \vdash$   
 $(q, 0111, XXZ_0) \vdash (q, 111, XXXZ_0) \vdash$   
 $(p, 11, XXZ_0) \vdash (p, 1, XZ_0) \vdash (p, \epsilon, Z_0) \vdash$   
 $(f, \epsilon, Z_0)$
- ◆ Thus,  $(q, 000111, Z_0) \vdash^* (f, \epsilon, Z_0)$ .
- ◆ What would happen on input 0001111?

# Answer

- ◆  $(q, 0001111, Z_0) \vdash (q, 001111, XZ_0) \vdash (q, 01111, XXZ_0) \vdash (q, 1111, XXXZ_0) \vdash (p, 111, XXZ_0) \vdash (p, 11, XZ_0) \vdash (p, 1, Z_0) \vdash (f, 1, Z_0)$
- ◆ Note the last ID has no move.
- ◆ 0001111 is **not** accepted, because the input is not completely consumed.



# Language of a PDA

- ◆ The common way to define the language of a PDA is by *final state*.
- ◆ If  $P$  is a PDA, then  $L(P)$  is the set of strings  $w$  such that  $(q_0, w, Z_0) \vdash^* (f, \epsilon, \alpha)$  for final state  $f$  and any  $\alpha$ .

## Language of a PDA – (2)

- ◆ Another language defined by the same PDA is by *empty stack*.
- ◆ If  $P$  is a PDA, then  $N(P)$  is the set of strings  $w$  such that  $(q_0, w, Z_0) \vdash^* (q, \epsilon, \epsilon)$  for any state  $q$ .

# Equivalence of Language Definitions

1. If  $L = L(P)$ , then there is another PDA  $P'$  such that  $L = N(P')$ .
2. If  $L = N(P)$ , then there is another PDA  $P''$  such that  $L = L(P'')$ .

## Proof: $L(P) \rightarrow N(P')$ Intuition

- ◆  $P'$  will simulate  $P$ .
- ◆ If  $P$  accepts,  $P'$  will empty its stack.
- ◆  $P'$  has to avoid accidentally emptying its stack, so it uses a special bottom-marker to catch the case where  $P$  empties its stack without accepting.

## Proof: $L(P) \rightarrow N(P')$

- ◆  $P'$  has all the states, symbols, and moves of  $P$ , plus:
  1. Stack symbol  $X_0$  (the start symbol of  $P'$ ), used to guard the stack bottom.
  2. New start state  $s$  and “erase” state  $e$ .
  3.  $\delta(s, \epsilon, X_0) = \{(q_0, Z_0X_0)\}$ . Get  $P$  started.
  4. Add  $\{(e, \epsilon)\}$  to  $\delta(f, \epsilon, X)$  for any final state  $f$  of  $P$  and any stack symbol  $X$ , including  $X_0$ .
  5.  $\delta(e, \epsilon, X) = \{(e, \epsilon)\}$  for any  $X$ .

## Proof: $N(P) \rightarrow L(P'')$ Intuition

- ◆  $P''$  simulates  $P$ .
- ◆  $P''$  has a special bottom-marker to catch the situation where  $P$  empties its stack.
- ◆ If so,  $P''$  accepts.

## Proof: $N(P) \rightarrow L(P'')$

- ◆  $P''$  has all the states, symbols, and moves of  $P$ , plus:
  1. Stack symbol  $X_0$  (the start symbol), used to guard the stack bottom.
  2. New start state  $s$  and final state  $f$ .
  3.  $\delta(s, \epsilon, X_0) = \{(q_0, Z_0X_0)\}$ . Get  $P$  started.
  4.  $\delta(q, \epsilon, X_0) = \{(f, \epsilon)\}$  for any state  $q$  of  $P$ .

# Deterministic PDA's

- ◆ To be deterministic, there must be at most one choice of move for any state  $q$ , input symbol  $a$ , and stack symbol  $X$ .
- ◆ In addition, there must not be a choice between using input  $\epsilon$  or real input.
  - ◆ Formally,  $\delta(q, a, X)$  and  $\delta(q, \epsilon, X)$  cannot both be nonempty.