



Algorithms: Design
and Analysis, Part II

Approximation Algorithms for NP-Complete Problems

Analysis of a Dynamic Programming Heuristic for Knapsack

The Dynamic Programming Heuristic

Step 1: set $\hat{v}_i = \lfloor \frac{v_i}{m} \rfloor$ for every item i .

Step 2: compute optimal solution with respect to the \hat{v}_i 's using dynamic programming.

Plan for analysis:

- ① figure out how big we can take m , subject to achieving a $(1-\epsilon)$ -approximation
- ② plug in this value of m to determine running time

Quiz

Question: Suppose we round v_i to the value \hat{v}_i .
Which of the following is true?

- (A) \hat{v}_i is between $v_i - m$ and v_i
- (B) \hat{v}_i is between v_i and $v_i + m$
- (C) $m \cdot \hat{v}_i$ is between $v_i - m$ and v_i
- (D) $m \cdot \hat{v}_i$ is between $v_i - 1$ and v_i

Accuracy Analysis I

From quiz: since we rounded down to the nearest multiple of m , $m \cdot \hat{v}_i \in [v_i - m, v_i]$ for each item i .

Thus: (1) $v_i \geq m \cdot \hat{v}_i$ (2) $m \cdot \hat{v}_i \geq v_i - m$

Also: if S^* = optimal solution to the original problem (with the original v_i 's), and S = our heuristic's solution, then

$$(3) \quad \sum_{i \in S} \hat{v}_i \geq \sum_{i \in S^*} \hat{v}_i$$

[since S is optimal for the \hat{v}_i 's]

(recall Step 2)

S = our soln
 S^* = optimal soln

Accuracy Analysis II

$$\sum_{i \in S} v_i \stackrel{\textcircled{1}}{\geq} m \sum_{i \in S} \hat{v}_i \stackrel{\textcircled{3}}{\geq} m \sum_{i \in S^*} \hat{v}_i \stackrel{\textcircled{2}}{\geq} \sum_{i \in S^*} (v_i - m)$$

Contains at most n items

Thus: $\sum_{i \in S} v_i \geq \left(\sum_{i \in S^*} v_i \right) - mn$

Constraint: $\sum_{i \in S} v_i \geq (1 - \epsilon) \cdot \sum_{i \in S^*} v_i$

To achieve this:
Choose m small enough
that $mn \leq \epsilon \cdot \sum_{i \in S^*} v_i$

unknown to algorithm, but definitely $\geq V_{\max}$

Sufficient: set m so that $mn = \epsilon V_{\max}$
i.e., heuristic uses the value $m = \frac{\epsilon V_{\max}}{n}$

Running Time Analysis

Point: Setting $m = \frac{\epsilon V_{\max}}{n}$ guarantees that value of our solution is $\geq (1-\epsilon) \cdot$ value of optimal solution.

Recall: running time is $O(n^2 \hat{V}_{\max})$.

Note: for every item i , $\hat{V}_i \leq \frac{V_i}{m} \leq \frac{V_{\max}}{m} = \cancel{V_{\max}} \cdot \frac{n}{\cancel{\epsilon V_{\max}}} = \frac{n}{\epsilon}$

\Rightarrow running time = $O(n^3 / \epsilon)$